



1.0 Requirements

- 1.1 Seller shall maintain a Counterfeit Item risk mitigation process internally and with its suppliers using SAE AS5553 and AS6174 as guidelines.
 - 1.1.1 Seller shall flow down to, and ensure compliance with the requirements of this Q-Note by, lower tier suppliers providing items for delivery to Tampa Brass & Aluminum Corp. under this order.
 - 1.1.2 Seller shall provide evidence of the Seller's risk mitigation process to the Tampa Brass & Aluminum Corp. Buyer upon request.
- 1.2 Seller and Seller's sub-tier suppliers that are allowed access to the US Government Industry Data Exchange Program (GIDEP) shall participate in monitoring GIDEP reports and the Seller shall act on GIDEP reports that affect product delivered to Tampa Brass & Aluminum Corp.
 - 1.2.1 The Seller shall issue a GIDEP report when suspect or confirmed counterfeit item(s) associated with this Purchase Order are discovered and ensure suspect counterfeit items are not delivered to Tampa Brass & Aluminum Corp.
- 1.3 Seller shall immediately notify Tampa Brass & Aluminum Corp. with the pertinent facts if the Seller becomes aware or suspects that items delivered in accordance with the Tampa Brass & Aluminum Corp. Purchase Order are or contain suspect or confirmed counterfeit items.
- 1.4 Seller shall purchase material directly from the Original Equipment Manufacturer (OEM), Original Component Manufacturer (OCM) (collectively, the Original Manufacturer (OM)) or an authorized OM reseller or distributor (collectively, an Authorized Distributor).
 - 1.4.1 Seller shall obtain documentation and retain all documentation required to fully trace the distribution and sale of the goods delivered hereunder back to the relevant OM, and, on request of Tampa Brass & Aluminum Corp., shall provide such authenticating documentation.
- 1.5 If items required to satisfy this Purchase Order cannot be procured from the OEM, OCM or an OM's Authorized Distributors, the Seller shall obtain written approval from the Tampa Brass & Aluminum Corp. Buyer.
 - 1.5.1 The Seller shall present complete and compelling support for any request to procure from sources other than the OEM, OCM or their OM's Authorized Distributors and include in the request all actions completed to ensure the parts thus procured are not Counterfeit Items. Actions may include testing and/or 3rd party verification at the Seller's expense.
 - 1.5.1.1 If authentication testing has not yet been performed, the Seller shall submit an authentication test plan to the Tampa Brass & Aluminum Corp. Buyer and obtain written approval for the plan prior to starting authentication testing.
 - 1.5.1.2 If authentication testing has already been performed, the seller shall submit the authentication data to the Tampa Brass & Aluminum Corp. Buyer and obtain written approval prior to part use.
 - 1.5.2 The supporting documentation shall include:
 - 1.5.2.1 Results of authentication test and analysis conducted.



- 1.5.2.2 Traceability with identification of all supply chain intermediaries wherever such traceability exists.
- 1.5.2.3 Identification of and traceability to the source for any remarked or resurfaced material.
- 1.5.3 The Seller shall segregate and provide traceability identifiers (i.e., Date Code / Lot Code, Serial number) for all items delivered to Tampa Brass & Aluminum Corp. which contain an item procured from sources other than OEM, OCM or their Authorized Distributors.
- 1.5.4 The Seller shall retain test samples as part of the quality record associated with this Purchase Order.
- 1.6 Seller shall provide evidence of this process to Tampa Brass & Aluminum Corp. upon request.

DOCUMENT IS UNCONTROLLED WHEN PRINTED